Detailed Regulations on Compensation for Inventions of the University of Electro-Communications

April 1, 2004 Amended March 25, 2008 April 1, 2009 January 18, 2011 February 21, 2012

## Article 1. Summary

These detailed regulations prescribe the handling of compensation for inventions in the University of Electro-Communications (hereinafter, "the University") based on the provisions of the Regulations on Service Inventions of University of Electro-Communications Employees (hereinafter, "the Regulations") Article 14 Clause 3.

# Article 2. Types of Compensation

The types of compensation to be paid by the University to an employee, etc. who made an invention for which the University succeeds the right to obtain a patent as per the provisions of Article 7 of the Regulations (hereinafter, "the inventor") shall be of the following types.

(1) Compensation for application

(2) Compensation for licensing

# Article 3. Compensation for Application

In case the University applies for a patent, etc. as per the provisions of Article 9 of the Regulations, the University shall pay to the inventor as compensation for application the amounts give in Appended Table 1.

#### Article 4. Compensation for Licensing

- 1. When the University receives revenue from licensing or transfer of an invention, etc. relating to a service invention (here and hereinafter including those for which patent is pending), the University shall pay to the inventor and in principle to the research laboratory of the inventor an amount of compensation for licensing calculated according to Appended Table 2, based on the amount of revenue left after subtracting the fees for patent application and maintenance and broker fees. This shall not apply if the whereabouts of the inventor cannot be determined, or if the inventor does not request such payment, or if the research laboratory does not exist.
- 2. Notwithstanding the provision of the preceding clause, in case support was received from another institution for the patent application and maintenance fees, etc. for the invention, etc. relating to a service invention and the University has an obligation to make refund to that institution, the agreements with that institution shall take precedence.

Article 5. Compensation to a Retired, Transferred, or Deceased Inventor

1. The right of an inventor to receive compensation shall continue in existence after the inventor ends or changes employment.

2. In case an inventor having the right to receive compensation dies, that right shall be succeeded by the heirs.

#### Article 6. Method of Payment

- 1. In case there are multiple inventors eligible to receive compensation, the University shall pay to all inventors the compensation amount according to their shares in the invention. If no agreement on the shares has been made, the shares shall be assumed to be equal.
- 2. Revenue gained by the University from transferring to others its held patents, etc. or the right to obtain a patent shall be considered as royalties, and Article 4 shall apply mutatis mutandis to the compensation to be paid to the inventor.
- 3. The University may pay compensation to inventors other than employees, etc. who made an invention jointly with employees, etc., in the same way as to employees, etc.
- 4. In case application for a foreign patent is made, the compensation for application prescribed in Article 2 shall be paid separately to the inventor. Even if multiple patent applications are made, however, they shall be treated as one application.

## Article 7. Miscellaneous

An inventor whose address or affiliation is changed shall promptly notify the change to the Intellectual Property Office of the University of Electro-Communications Center for Industrial and Governmental Relations. The same shall apply to retirement or change of employment.

#### Supplementary Provision

These detailed regulations are effective as of April 1, 2004.

Supplementary Provision

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Supplementary Provision

These detailed regulations are effective as of January 18, 2011.

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# Appended Table 1. Compensation for Application

Patent	10,000 yen per application
Utility model	7,000 yen per application
Design	7,000 yen per application

Appended Table 2. Compensation for Licensing

Inventor	30%
Laboratory	30%
University	40%