

Intellectual Property Office Staff

- | | |
|---|---|
| ● Director
Tetsuya Miki | ● Visiting Professors
Sadakazu Igeta (Patent attorney) |
| ● Assistant Director
Kenji Hori (Visiting professor) | Tadahiko Ito (Patent attorney) |
| ● Intellectual Property Managers
Shigeyuki Yoneyama (Visiting professor) | Minoru Kudo (Patent attorney) |
| Takako Hashimoto (Patent attorney) | Shingo Tsuji (Patent attorney) |
| Syoko Ito | Shigeo Naruse (Patent attorney) |
| Reiji Aihara (Patent attorney) | Hidekazu Miyoshi (Patent attorney) |
| Kyota Endo (Patent attorney) | Toyomaro Yoshida (Patent attorney) |
| Syunichi Kubo | Hidehisa Sawai (Attorney of Law) |
| ● Clerical Directors
Miyuki Kinoshita | |
| Aiko Kobayashi | |

Access by Train:
Keio Line: From Shinjuku Station to Chofu Station (North Exit) (14 min. by Special Express, 19 min. by Express), 7 min. walk to University
Keio Inokashira Line: (From Shibuya or Kichijoji Stations) go to Meidaimae Station, then change trains for the Keio Line toward Chofu, then to Chofu Station (North Exit), 7 min. walk to University

Access by Odakyu Bus:
From JR Kichijoji Station (South Exit): Take the "Shinkawa via Shiyakusho bound for Chofu" bus, getting off at "Denki Tsushin Daigaku Gakusei Ryo-mae" stop (takes 25 minutes from station to bus stop)
From JR Mitaka Station (South Exit): Take the "Chofu" bus, getting off at "Denki Tsushin Daigaku Gakusei Ryo-mae" stop (takes 20 minutes from station to bus stop)



**TOWARD AN INTELLECTUAL PROPERTY OFFICE FOR
A UNIQUE UNIVERSITY OF ELECTRO-COMMUNICATIONS**

INTELLECTUAL PROPERTY OFFICE



**An Introduction to Intellectual Property Office
How Intellectual Property is to be managed**

National University Corporation **The University of Electro-Communications**



National University Corporation **UEC OFFICE**
The University of Electro-Communications
Intellectual Property Office:

1-5-1 Chofugaoka, Chofu-shi Tokyo 182-8585
Tel: 0424-43-5838 Fax: 042-43-5839 <http://www.uec.ac.jp>

University of Electro-Communications Intellectual Property Office Overview

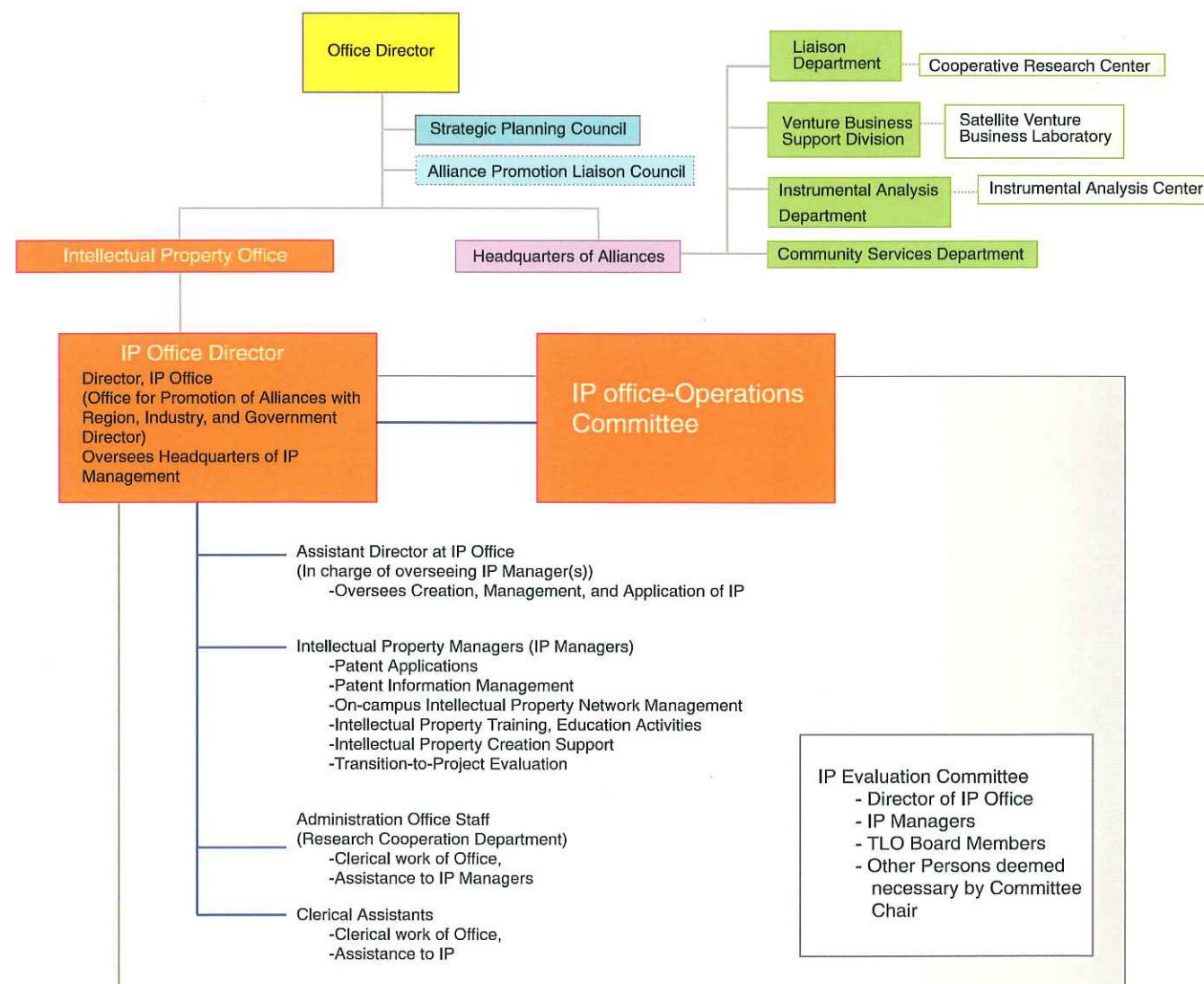
About the Intellectual Property Office

Intellectual Property Office for our university was adopted as a "University Intellectual Property Maintenance Project" in August 2003 by the Ministry of Education, Culture, Sports, Science, and Technology, established for the purpose of maintaining a strategic implementation system which generates, manages, and applies the intellectual property of Universities, etc.

Intellectual Property Office currently positioned as a sub-organization of the "Office for Promotion of Alliances with Region, Industry, and Government". The purpose of Intellectual Property Office is to quickly and effectively manage, cultivate, and apply the intellectual property resulting from research. The Office not only manages, cultivates, and applies the intellectual property of our school, but also manages such specialized functions as utilization of personnel with specialized knowledge, dealing with matters related to intellectual property policy and the fruits of research, as well as the finalization of joint research agreements. It also deals in technology transfers of industrial intellectual property rights, with the aim of cooperation with such entities as Trade Licensing Organizations (TLO).

In July 2005, the Ministry of Education, Culture, Sports, Science, and Technology undertook a mid-term evaluation of the aforementioned "University Intellectual Property Maintenance Project" after two years had passed since its inception, for the purpose of its efficient and effective implementation, and publicized the results. Our university's Intellectual Property Office received the highest rank of "A" in the mid-term evaluation, out of the 34 institutions that were evaluated, and the Office continues its activities to the present.

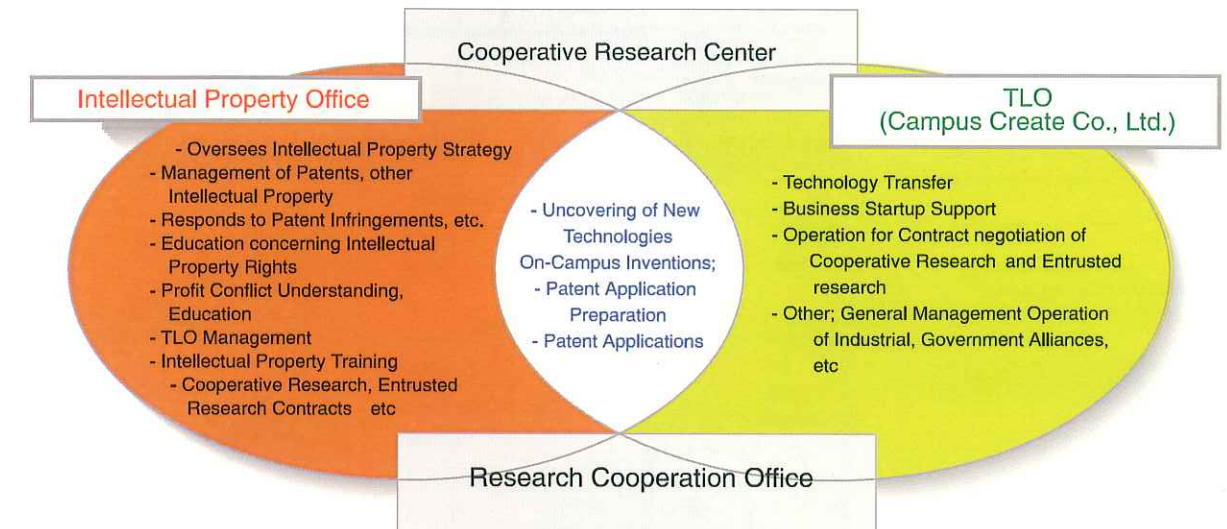
Office for Promotion of Alliances with Region, Industry, and Government; Intellectual Property Office - Organizational Chart



Division of Roles and Operations Affiliation

Division of Roles between Intellectual Property Office and TLO

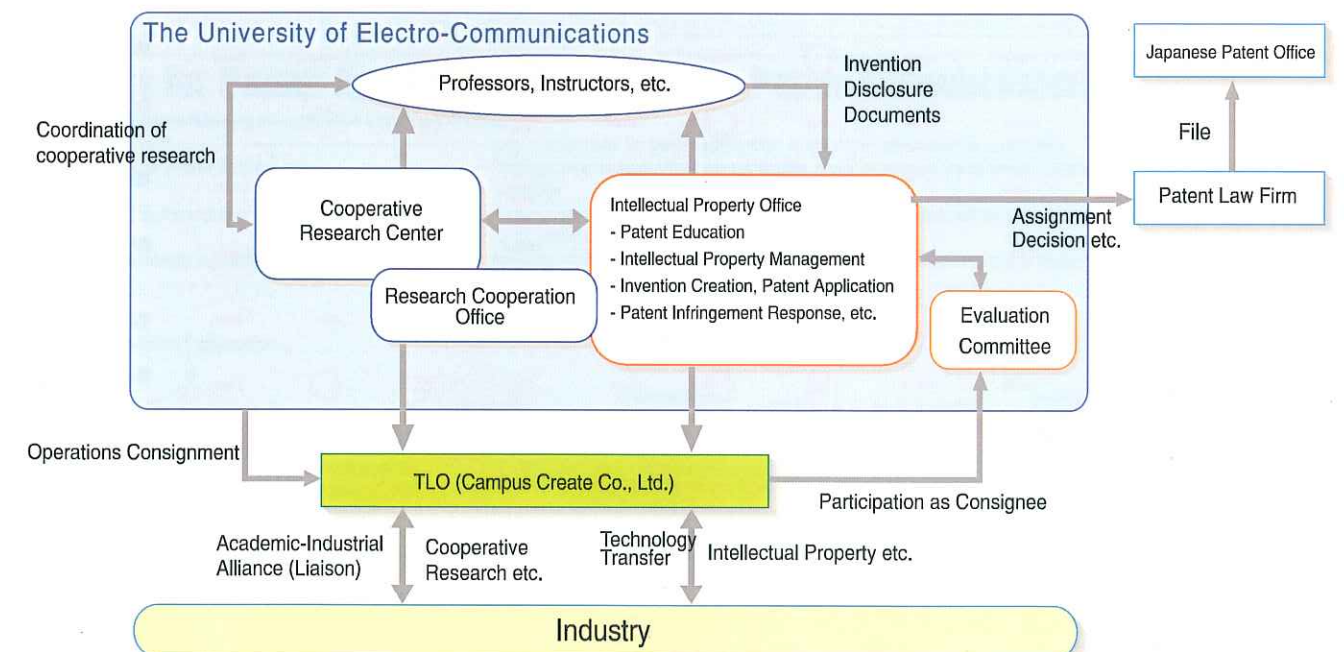
Intellectual Property Office and Campus Create Co., Ltd. cooperate to uncover on-campus inventions and perform patent applications, etc., while also receiving backup support from the Cooperative Research Center and the Research Cooperation Office.



About Operational Alliances Managed by Intellectual Property Management

When an invention is made, Intellectual Property Office works closely with the inventor, IP-Evaluation Committee, and TLO (Campus Create Co., Ltd.). Especially when involving the transfer of technology of a right that is patent that is pending or the rights to use a patent which has been obtained, Intellectual Property Office acts in a unified manner with Campus Create Co., Ltd.

After evaluation of the invention submitted from the inventor(s) by the IP-Evaluation Committee, the University of Electro-Communications succeeds the right to obtain a patent right from the inventor(s). Following that, the patent law firm prepares a Patent Application Form including a Patent Specification in cooperative with IP manager at Intellectual Property Office.



Intellectual Property Policy

Intellectual Property Strategy - In conjunction with becoming a National University Corporation on April 1, 2004, the University of Electro-Communications has been directed to manage its intellectual property.

Intellectual Property Policy (Outline)

I. Persons to whom intellectual property policy applies

- | | | |
|--|---|--|
| (1) Employees of the University
(including part-time employees) | Employed students, etc.
- managed same as instructors

Students without employment contracts
- invention notification
- finalization of transfer agreement | (5) Cooperative researchers admitted into the University from private sector |
| (2) Students of the University | | (6) Loaned researchers admitted to the University |
| (3) Part-time Research Employees (Post-doctoral fellows) | | (7) Visitors to the University |
| (4) University Research Students | | (8) Retired University Employees |

II. Management of and Rights Possession, Transfer Pertaining to Research Results

1. Inventions and Utility Model

(1) Policy regarding Possession of Invention

From the perspective of academic research development and the proper use of intellectual rights, the University will, going forward, be re-evaluating its range of rights transfer of patents, etc. related to employee inventions. In addition to this, the University will, as a principle, make those rights the property of the University by contract between the inventor and University, managing it centrally in the Headquarters of Intellectual Property Management, and applying it through TLO, etc.

The above policy will be applied to University employees, as well as students who are in employment status at the University. With regard to students of the University who are not under employment contract, if, upon receiving the notification of invention established under University rules, the University should determine to assume the patent rights thereof to itself, it will finalize a transfer agreement between the student or other party and the University.

Further, items regarding this transfer agreement shall be established separately.

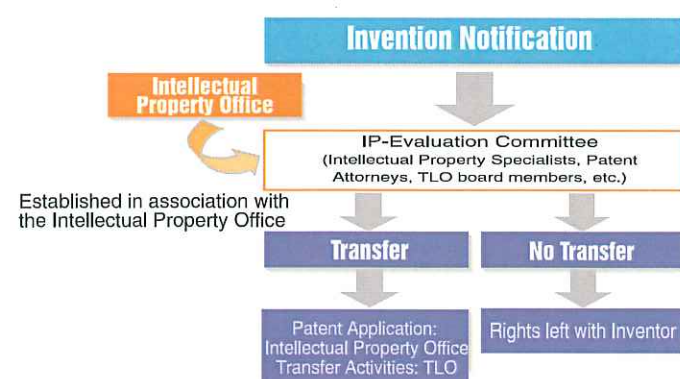
(2) Invention Notification

Those to whom the Intellectual Property Policy applies shall, when an invention occurs which could be thought of as an employee invention, notify Intellectual Property Office of such prior to writing research papers or making announcements at academic conferences, etc. (Though Article 30 of the Japanese Patent Law excludes such as cause for lack of novelty, it is necessary to keep in mind that this system only guarantees that such papers, etc., will not be cited when rejecting the invention as public knowledge, as well as the fact that a patent will not be obtainable when another person has applied prior to the original inventor, and in states and organizations such as European Patent Offices, an individual's publishing of an academic paper can cause an invention to be deemed lacking novelty.)

(3) Evaluation and Assessment of Inventions, etc., by the IP- Evaluation Committee

The Evaluation Committee of the University is established to evaluate and assess intellectual property such as inventions which are related to the University's possessions. The IP- Evaluation Committee is positioned in association with Intellectual Property Office, and consists of intellectual property specialists, patent attorneys, and TLO board members commissioned by Intellectual Property Office.

Overview of the Invention Evaluation and Transfer Process



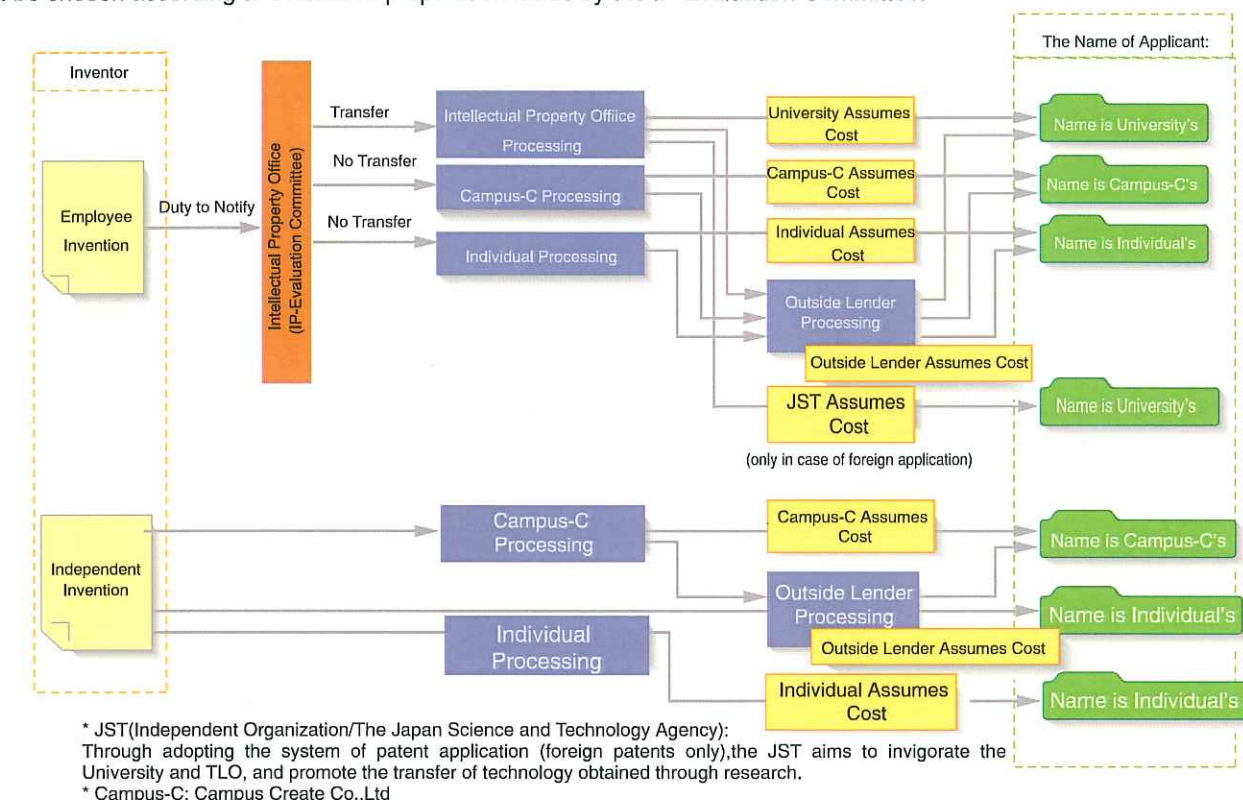
(4) Invention Evaluation and Transfer Process

In the event that after evaluation, the IP- Evaluation Committee assesses that the University should assume the rights to itself, Intellectual Property Office will file a patent application, and the TLO to which the work has been entrusted will directly start preparing the technology transfer destination. The right to obtain a patent right will remain with the applicable inventor in cases where it has been assessed that the University cannot assume the rights.

Articles or items pertaining to inventions, etc. researched through outside funding shall be provided separately.

Processes, Cost-Bearing, Rights Possession of Intellectual Property

This chart shows the cost-bearing, etc., and rights possession and patent applications process management of inventions made at the University. The route of patent application, etc. for an invention reported to Intellectual Property Office will be chosen according to evaluation preparation made by the IP-Evaluation Committee.



Policy Concerning University-owned Patent Applications and Applicable Licensing Activities

Upon patent application, the IP-Evaluation Committee will classify inventions belonging to the University as the rights into the following three categories:

A- Group Inventions: Inventions where technology transfer or patent right (or application to obtain a patent) have been judged to be possible, and they are divided into the following subsets:

A0 —Group Inventions: Among A-Group Inventions, those where the patent application thereof will be applied solely by the University, and can be transferred by the independent judgment of the TLO.

A1 —Group Inventions: Among A-Group Inventions, those where the patent application thereof will be applied jointly by the University and enterprise, etc., and the agreement of the joint applicant is necessary for transfer of the right, etc.

B- Group Inventions: Although the inventions where technology or patent patent right (or application to obtain a patent) have been judged to be difficult, those are expected to have a large impact upon society in the future.

B- Group Inventions: Inventions offered for University Ventures

